

## FORMALITIES LETTER



\*OC000000005067403\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/502,970	02/11/2000	Steve Capps	2300

Michalik & Wylie PLLC  
14645 Bel-Red Road  
Suite 103  
Bellevue, WA 98007



Date Mailed: 04/21/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1620.
  - \$918 for 51 total claims over 20.
  - \$702 for 9 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2440.**

*A copy of this notice **MUST** be returned with the reply.*

*[Signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/18/2000 SDENB081 00000056 09502970  
01 FC:101  
02 FC:103  
03 FC:105  
04 FC:102  
690.00  
918.00  
130.00  
546.00

SECTOR 8



**PATENT**  
Attorney Docket No. 2300

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

CAPPS et al.

Group Art Unit: 2773

Serial No. 09/502,970

Examiner: Not Assigned

Filed: February 11, 2000

For: Unified Navigation Shell User  
Interface

**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Applicant hereby responds to the NOTICE TO FILE MISSING PARTS OF APPLICATION dated April 21, 2000. This application is on behalf of a large entity, and pursuant to 37 C.F.R. § 1.16(e), the surcharge for filing this Response is \$130.00.

Submitted with the response and surcharge is a filing fee in the amount of \$690.00, total additional claim fees in the amount of \$918.00, additional independent claim fees in the amount of \$546.00 (Please note that the Notice to File Missing Parts indicates 9 independent claims over 3, however only 10 independent claims were filed. Accordingly, fees are enclosed for the correct number of independent claims only.), copy of claims as filed with independent claims (1, 25, 29, 37, 44, 45, 54, 63, 67, 70) highlighted and numbered 1-10, a Petition for Two- Month Extension of Time, a Credit Card Payment Form, an Executed Declaration of inventors, and a copy of the Notice to File Missing Parts of Application.

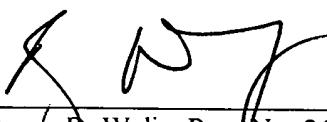
In re Application of CAPPS et al.  
Serial No. 09/502,970

The total fee due is:

Basic Filing Fee	\$ 690.00
Additional Claim Fees	\$1464.00
Surcharge	\$ 130.00
Extension of Time (2 mo.)	<u>\$ 380.00</u>
Total Fee Due:	\$2664.00

☒ Charge Visa No. on enclosed Credit Card Payment Form the sum of \$2664.00.

Respectfully submitted,

  
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Roger D. Wylie, Reg. No. 36,974  
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Bellevue, WA 98007  
(425) 653-3533 (telephone)  
(425) 653-3603 (facsimile)

Date: August 14, 2000

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#### CERTIFICATE OF MAILING

I hereby certify that this Response, copy of claims as filed, Petition for Two-Month Extension of Time, Credit Card Payment Form, Executed Declaration of Inventors, and copy of the Notice are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: August 14, 2000

  
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Roger D. Wylie

2300 Missing Parts Response